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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sun et al

§ Group Art No.: 12681

Serial No.: 09/911,081

§ Examiner: Not Yet Assigned

Filed: July 20, 2001

§ Atty. Docket No.: 32149631.5

For: Broadband Ethernet Multicasting

§

**REQUEST FOR CHANGE OF STATUS AND**  
**REQUEST FOR FEE REFUND**

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)	
DATE OF DEPOSIT: <u>21 November 2001</u>	
I, Donna Yarbrough, hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 2327, Arlington VA 22202 on the date indicated above.	
<u>Donna Yarbrough</u> Signature	
<u>21 November 2001</u> Date	

Commissioner of Patents  
P.O. Box 2327  
Arlington VA 22202

Dear Sir:

Applicants respectfully request Small Entity Status as set forth in 13 C.F.R. 121.201.

Applicants filed the above-referenced application on July 20, 2001 claiming Small Entity Status.

Applicants filed a Response to Notice to File Missing Parts on October 11, 2001 requesting a change to Large Entity Status. The request to change to Large Entity Status was made in error.

At the time of filing the Response to Notice to File Missing Parts, Applicants submitted Large Entity fees in the amount of \$840. This error resulted in overpaid fees in the amount of

\$420. Applicants respectfully request a refund of fees in the amount of \$420 pursuant to 37 C.F.R. 1.28(a). Applicants request that the refund be credited to Deposit Account 13-0480, Attorney Docket No. 32149631.5.

The Applicant believes that no fees are required in connection with this filing. However, to the extent that fees are required, the Commissioner is authorized to charge those fees, or credit any overpayment to Account No. 13-0480, Attorney Docket No. 32149631.5.

Respectfully submitted,

Brian C. McCormack  
Brian C. McCormack  
Reg. No. 36,601

ATTORNEY FOR APPLICANTS

Date: November 21, 2001  
BAKER & MCKENZIE  
2001 Ross Avenue, Suite 2300  
Dallas, TX 75201  
214/978-3007  
214/978-3099 fax



Def/Ref  
#307

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/911,081
		Filing Date	07/20/2001
		First Named Inventor	Sun et al
		Group Art Unit	2661
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission		Attorney Docket Number	32149631.5

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Change of Status
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Postcard Acknowledgement
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Brian C. McCormack BAKER & MCKENZIE
Signature	
Date	11/21/2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 2327 Arlington VA 22201 on this date:

11/21/2001

Typed or printed name	Donna Yarbrough
Signature	
Date	11/21/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.